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Transmitted herewith for filing is: ☒ a new application  
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For COMMUNICATION NETWORK MANAGEMENT SYSTEM

Enclosed are:

- ☒ 19 sheets of drawings (Figs. 1-7, 8A-8I, 9, 10, 11A-11D, 12, 13A-13D, 14-19)  
☒ Specification, including claims and abstract ( 50 pages)  
☒ Declaration  
☒ An assignment of the Invention to FUJITSU LIMITED  
☒ A certified copy of Japanese Application No(s). 11-322015  
☒ An associate power of attorney  
☐ A verified statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27  
☒ Post card  
☒ Recording fee (as indicated below)  
☐ Information Disclosure Statement, PTO-1449, copies of \_\_\_\_\_ references  
☐ Other \_\_\_\_\_  
☐ Other \_\_\_\_\_

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BASIC FEE		
TOTAL CLAIMS	8-20 =	0
INDEP CLAIMS	1-3 =	0
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SPECIFICATION

TITLE OF THE INVENTION

COMMUNICATION NETWORK MANAGEMENT SYSTEM

BACKGROUND OF THE INVENTION

5           This invention relates to a communication network management system and, more particularly, to a communication network management system for managing and operating a network in accordance with a business policy or user policy.

10           Communication networks employ a variety of network technologies, e.g., SDH, ATM, FR, WDM and IP. In addition, communication networks are becoming increasingly more complicated in form and are divided into a wide variety of domains (subnetworks) as in the  
15           manner of access networks, backbone networks, SDH (Synchronous Digital Hierarchy) networks and WDM (Wavelength Division Multiplexing) networks. These domains are managed by an EMS (Element Management System) and these are in turn managed by an NMS (Network  
20           Management System). The NMS and EMS both have a manager/agent architecture defined by the ISO. The NMS transmits an operating command to a manager agent within the EMS using a prescribed management protocol, e.g. the CMIP (Common Management Information Protocol), and the  
25           status of a domain is acquired by the EMS to thereby manage the overall network.

          Fig. 16 is a diagram useful in describing a system management model and illustrates the relationship

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operating command to the agent A, thereby operating the network indirectly to implement management. It is possible with this management operation to adopt a plurality of managed objects MO as objects of control  
5 simultaneously by a single management operation.

Fig. 17 is an explanatory view illustrating the concept of a basic network hierarchy in network management. In accordance with a TMN (Telecommunication Management Network) defined by the ITU-TM.3000 series,  
10 network management functions are classified into the following four layers and the roles thereof are clarified:

- (1) element management layer EML;
- (2) network management layer NML;
- 15 (3) service management layer SML; and
- (4) business management layer BML (not shown).

Element management systems (EMS) 11, 12 are each connected to one or more network elements (NE) 1 ~ 4 within corresponding domains and control the managed  
20 objects MO to manage the network elements NE and the domains (subnetworks SN) constituted by the network elements. A network management system (NMS) 21 is connected to one or a plurality of element management systems (EMS) 11, 12 and manages the network elements of  
25 the overall network via these element management systems.

A service management system (SMS) 31 is connected to the network management system (NMS) 21 and, in

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management information base. The higher and lower layers have a manager M - agent A relationship, and communication is performed via the management protocol CMIP.

5           The network management system (NMS) 21 stores the managed object MO, which is for managing network information that connects the domains, in the management information base MIB and functions as the agent A, which supplies network information to the service management  
10 system (SMS) 31. Further, the network management system (NMS) 21 behaves as the manager M with respect to the element management systems (EMS) 11, 12 and implements network management by operating the managed object MO, which has been stored in the management information base  
15 MIB, via the agent function of the element management systems (EMS) 11, 12. Further, through use of the user interface function, the network management system (NMS) 21 makes it possible to command the manipulation of network information.

20           The element management systems (EMS) 11, 12 store the managed objects MO for managing the domains in the management information bases MIB and function as agents A for supplying network information to the network management system (NMS) 21 of the higher layer.

25           Further, the element management systems (EMS) 11, 12 behave as the managers M with respect to the network elements (NE) 1, 2, ... and perform network management within a specified range by operating the managed

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objects MO, which have been stored in the management information bases MIB, via the agent functions of the network elements 1, 2, ...

In order to exploit network resources effectively, there is now need for a system which can implement network management in accordance with a business network operations policy. Conventionally, public networks which provide leased lines to businesses furnish network services of uniform high reliability and quality in compliance with the wishes of users. Recently, however, there has been growing demand for a network service which, in accordance with business policy, makes it possible to designate the quality of a public network, or to change the quality thereof dynamically, in conformity with the network quality desired by individual users. To satisfy this demand, a service has been made available in which a Service Level Agreement (SLA) is concluded between a public network and a user and the public network adjusts the user network quality on the basis of the SLA.

Practical public networks are implemented using various network technologies adapted to the traffic characteristics of users, namely network technologies such as IP, FR (Frame Relay), SDH and ATM (see Fig. 18). In such networks, it becomes necessary to change the SLA dynamically if user traffic changes or increases in an IP network, by way of example. In accordance with the agreement with the user, therefore, it is necessary to

convert the SLA information to the parameters of the network (IP, FR, SDH, ATM, etc.) being used in the public network.

Communication traffic through a plurality of domains (subnetworks) is dependent upon the QoS (Quality of Service) of the traversed domains. For this reason, there are cases where QoS requirements cannot be satisfied fully depending upon applications where quality is important, such as TV conference and voice applications, real-time applications, etc. In order to satisfy QoS requirements using these applications, it is necessary to request end-to-end quality, select end-to-end domains (subnetworks) that can provide the demanded quality assurance and carry out QoS policy provisioning. Here "provisioning" means establishing paths and networks. With QoS policy provisioning, it is necessary to convert SLA information, e.g., maximum and minimum end-to-end speeds required by the user, to parameters (cell rate in case of ATM and containers in case of SDH) conforming to the network technology (IP, FR, SDH, ATM, etc.)

With regard to SLA heretofore, general agreements such as guarantees of usable time (availability) have already been introduced. However, it is required that parameters dependent upon a specific network technology such as IP, FR, SDH or ATM be set in a data format that is dependent upon the network technology by the information system administrator of the business. It is



5 abstract requirements of the user, and hence obstacles  
are confronted when making changes dynamically. For  
example, deducing the parameters of the network takes  
time. In addition, management of a public network also  
requires a maintenance man who knows how to ascertain  
10 the actual status of the network.

## SUMMARY OF THE INVENTION

15 from the user of the network can be converted  
automatically to parameters that conform to the network  
technology (this being referred to as "policy  
detailing").

25 result of which the cost of changing a network  
configuration is reduced.

A network system in accordance with the present invention has (1) first conversion means for converting

action parameters, which are contained in policy information obtained by abstracting a network-related user requirements, to network-technology-dependent parameters, and (2) second conversion means for

- 5 converting the network-technology-dependent parameters,  
which have been obtained by the conversion by the first  
conversion means, to parameters dependent upon a network  
element that has been specified by a target parameter  
contained in policy information.
- 10 The first conversion means has (1) policy  
disassembling means for disassembling the abstracted  
policy information, extracting action parameters and  
outputting the same; (2) storage means for storing  
conversion rules used when the action parameters are  
15 converted to network-technology-dependent parameters;  
and (3) conversion means for selecting a conversion rule  
conforming to a network technology and converting action  
parameters to network-technology-dependent parameters  
using the selected conversion rule.
- 20 The second conversion means has (1) policy  
enforcement means for receiving network-technology-  
dependent parameters from a policy administration  
portion serving as the first conversion means, and  
setting, in a network element, element-dependent  
25 parameters obtained by converting the network-  
technology-dependent parameters; storage means for  
storing conversion rules used when the network-  
technology-dependent parameters are converted to

5 selected conversion rule.

10 technology and converts the action parameters to  
network-technology-dependent parameters using the  
selected conversion rule.

20 policy disassembling means disassembles action  
parameters into (1) a parameter relating to adaptation,  
(2) a parameter relating to monitoring and (3) a  
parameter relating to protection; and the conversion  
means makes the conversion to the network-technology-  
25 dependent parameters using the first to third parameter  
conversion rules.

The first conversion means is further provided with policy storing means for storing policies (network-

technology-dependent parameters) obtained by conversion, wherein a policy conforming to new action parameters is acquired from the policy storing means.

With regard to the second conversion means, the  
5 conversion-rule storage means stores conversion rules,  
selects a prescribed conversion rule based upon element  
type and converts the network-technology-dependent  
parameters to element-dependent parameters using the  
selected conversion rule.

10 Further, with regard to the second conversion  
means, the conversion-rule storage means adds on and  
stores a conversion rule whenever an element function is  
added on or changed, and the conversion means selects a  
prescribed conversion rule upon taking the function of a  
15 network element or the number of versions of a network  
element into consideration and converts the network-  
technology-dependent parameters to the element-dependent  
parameters using the selected conversion rule.

In accordance with the arrangement described above,  
20 network-related abstract requirements from a user,  
namely abstract policy information, can be converted to  
network-technology-dependent parameters automatically.  
Further by performing this policy detailing  
automatically, know-how and learning necessary for  
25 network settings can be reduced and network settings can  
be speeded up and simplified on the user side, and the  
time necessary to change to a new service can be  
shortened on the side of the public network, thereby





(A) Configuration of communication network  
management system

Fig. 1 is a block diagram illustrating the  
configuration of a communication network management  
5 system according to the present invention, and Fig. 2 is  
a diagram useful in describing the generation of a QoS  
capability view and QoS policy provisioning in this  
network management system according to the present  
invention. Here QoS is the abbreviation of Quality of  
10 Service.

The network management system NMS which performs  
end-to-end network management is connected to a  
plurality of element management systems EMS<sub>i</sub> ( $i = 1, 2,$   
3, ...). Since network technologies such as ATM, SDH,  
15 WDM and IP have functions that differ from one another,  
an element management system EMS<sub>i</sub> is provided for each  
network technology. Since subnetworks SN1 ~ SN4 for  
ATM, WDM and SDH are mixed together, the network shown  
in Fig. 1 is provided with (1) an ATM element management  
20 system EMS1, (2) an SDH element management system EMS2  
and (3) a WDM element management system EMS3 as the  
element management systems. The ATM element management  
system EMS1 manages the subnetworks SN1, SN4, the SDH  
element management system EMS2 manages the subnetwork  
25 SN2 and the WDM element management system EMS3 manages  
the subnetwork SN3. The network management system NMS  
and the element management systems EMS1 ~ EMS3 have a  
manager - agent relationship.

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established between the network elements NE1 and NE2 of the subnetwork SN1. The information object NE2 (1) converts the internet protocol IP of a business network (IP network) to the ATM protocol of a public network using an AAL (ATM adaptation layer) unit 101, (2) establishes ATM VC traffic in a vcTP (virtual channel termination point) 102, (3) accommodates (multiplexes) several ATM VC traffics on the same VP in a vpTP (virtual path termination point) unit 103, and (4) using a cc (cross-connection) unit 104, cross connects the multiplexed VP traffic and transmits the result via a vpTP unit 105. The information object NE1 (1) demultiplexes the VC traffic, which has been multiplexed on the same VP, by a vcTP unit 106, (2) cross connects the demultiplexed VC traffic using a cc unit 107, and (3) transmits the result via a vcTP unit 108.

Further, the element management system EMS1 is provided with a policy detailing function portion 100. The latter receives abstracted network-technology-independent policy information from the network management system NMS, extracts operation parameters (action parameters) from the abstracted policy information, converts the operation parameters to network-technology-dependent and element-dependent parameters and sets these parameters in the network elements. The policy detailing function portion 100 has a policy administration function portion PAF and a policy enforcement function portion PEF. The policy

administration function PAF converts operation parameters contained in the abstracted policy information to network-technology-dependent parameters, and the policy enforcement function PEF converts the

5 network-technology-dependent parameters obtained by the above-mentioned conversion to parameters dependent upon element type (i.e., element-dependent parameters) and sets these parameters in the element. One policy administration function PAF is provided and shared by

10 network technologies. Policy enforcement functions PEF are provided for corresponding ones of the network technologies.

An SN-RC (Subnetwork Resource Configurator) provided in each element management system EMSi and an

15 LN-RC (Layer Network Resource Configurator) and E-RC (End-to-end network Resource Configurator) provided in the network management system NMS each generate a QoS capability view for managing QoS capability (i.e., for managing a path that satisfies the QoS requirement) (see

20 Fig. 2).

The SN-RC generates a QoS capability subnetwork view of each domain. The LN-RC generates a QoS capability layer network view in layer-network units (ATM layer, WDM layer, SDH layer, etc). That is, the

25 LN-RC acquires network information from the SN-RC of the element management system EMSi and generates a QoS capability layer network view (a set of QoS capability subnetwork views of each layer) in layer-network units.

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that satisfy the QoS policy requested by the user. This is performed through a method similar to the domain selection of the E-QP<sup>3</sup>.

An SN-QP<sup>3</sup> (Subnetwork QoS Policy Provisioning  
5 Performer) provided in the element management system EMSi performs QoS policy provisioning in subnetworks that have been selected by the E-QP<sup>3</sup> and LN-QP<sup>3</sup>. In other words, the SN-QP<sup>3</sup> sets up a path that satisfies the QoS policy. The SN-QP<sup>3</sup> is a function contained in the  
10 policy detailing function 100 (policy administration function PAF and policy enforcement function PEF) shown in Fig. 1.

Element setting (QoS policy provisioning) based upon a QoS policy requested by the user is carried out  
15 in the manner described below.

The user enters a QoS policy for specifying an end-to-end QoS in a format independent of the network technology.

The E-QP<sup>3</sup>, LN-QP<sup>3</sup> of the network management system  
20 select one or more domains (subnetworks) which construct an end-to-end connection that satisfies a QoS policy requested by the user and deliver the domain specifying information and QoS policy information to the policy administration function PAF of each element management  
25 system EMSi (i = 1, 2, ...).

Using a conversion rule conforming to the network technology of a domain indicated by the domain specifying information received from the network

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management system NMS, the policy administration function PAF of each element management system EMSi converts the received QoS policy to an operation command that is dependent upon the network technology (a  
5 technology-dependent parameter) and delivers this technology-dependent parameter to the policy enforcement function PEF corresponding to this domain.

Using a conversion rule conforming to the type of network element to be set, the policy enforcement  
10 function PEF converts an action command (which contains a technology-dependent parameter) received from the policy administration function PAF to an element-dependent parameter and sets this parameter in the element. In order to set an element-dependent parameter  
15 in a network element that is to be set, the attribute of a managed object MO that conforms to the network element managed by the element management system EMSi is changed by the element-dependent parameter and the NE-configuration manager sets the changed attribute in the  
20 actual network element that is to be changed.

(B) Policy detailing function

(a) Construction of policy detailing function

Fig. 3 is a block diagram showing the construction of the policy detailing function 100.

25 The policy detailing function 100 is provided within the prescribed element management system EMS1, generates a parameter 202 dependent upon the network technology and network element to be set from abstracted

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policy information 201 provided by the network management system NMS and sets the parameter 202 in the network element. The abstracted policy information 201 is information that is not dependent upon network  
5 technology and has information such as (1) a target (setting point of the public network), (2) a condition (date and time of operation) and (3) an action (details of operation).

The policy detailing function 100 has a policy  
10 administration function PAF 110 for converting a network-independent action parameter contained in the abstracted policy information 201 to a network technology-dependent parameter, and a policy enforcement function PEF 120 for converting a technology-dependent  
15 parameter obtained by the above-mentioned conversion to a parameter (element-dependent parameter) 202 that is dependent upon the network element specified by the target information. A single common policy administration function PAF is provided for each network  
20 technology but policy enforcement functions PEF are provided for corresponding ones of the network technologies.

(b) Policy administration function PAF

The policy administration function PAF has (1) a  
25 policy disassembling unit 111, (2) a technology-dependent rule handler 112, and (3) a storage unit 113 for storing a number of conversion rules 113a - 113n for respective network technology for converting action

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parameters to technology-dependent parameters.

The policy disassembling unit 111 receives abstracted policy information 201 domain-by-domain from the network management system NMS, disassembles the abstracted policy information 201, extracts an action parameter, attaches network-technology identification data to the action parameter and inputs the action parameter to the technology-dependent rule handler 112. If an action parameter is input thereto, the technology-dependent rule handler 112 selects a conversion rule conforming to the network technology, converts the action parameter to a parameter (technology-dependent parameter) that is dependent upon network technology in accordance with the selected conversion rule, and inputs the parameter obtained to the policy disassembling unit 111. The latter distributes the technology-dependent parameter to the policy enforcement function PEF conforming to the network technology.

If an action parameter is given in the form of maximum band (Mbps), the conversion rule, e.g., an ATM-technology adaptation conversion rule, makes a conversion to the ATM cell rate (cell/s). More specifically, if the network technology is ATM, then it is necessary to convert a datagram from the user accommodated by the IP network to ATM cells. It is required that the band be reserved by the ATM layer taking into consideration (1) the requested maximum band (Mbps) of the datagram, (2) a band required for an AAL

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Each policy enforcement function PEF provided for a corresponding network technology (ATM, SDH, WDM, etc.) has (1) a policy enforcement unit 121, (2) an element-



dependent rule handler 122, and (3) a storage unit 123 which store a number of conversion rules 123a ~ 123n for converting technology-dependent parameters to parameters that are dependent upon the type of network element.

- 5 Upon receiving a technology-dependent parameter from the policy administration function PAF, the policy enforcement unit 121 inputs the parameter to the element-dependent rule handler 122. The latter selects a conversion rule conforming to the type of element and
- 10 converts the technology-dependent parameter to an element-dependent parameter using the selected conversion rule. The policy enforcement unit 121 sets the element-dependent parameter in the network element and enforces the policy.

- 15 (d) Conversion to technology-dependent parameter

With regard to the policy administration function PAF, the conversion-rule storage unit 113 stores the conversion rules 113a ~ 113n according to technology type and the technology-dependent rule handler 112

20 selects a conversion rule based upon the technology which implements the network and converts an action parameter to a technology-dependent parameter using the selected conversion rule.

- Further, the conversion-rule storage unit 113 in
- 25 the policy administration function PAF stores the following, on a per-network-technology basis, as conversion rules for converting action parameters to technology-dependent parameters:

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(2) a second parameter conversion rule MRL relating to monitoring; and

The first parameter conversion rule ARL is for converting a requested band or service category (quality class) to a technology-dependent parameter. The second

(1) a parameter (band, service category, etc.) relating to adaptation;

(3) a parameter relating to protection (namely whether or not a duplex switching is performed).

(e) Utilization of past results of conversion

The policy administration function PAF is provided

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have been stored in the policy storing memory 114 by policy information which sets a path having a maximum band of 10 Mbps and a minimum band of 3 Mbps for a prescribed end to end. If under these conditions the policy administration function PAF receives, from the network management system NMS, abstracted policy information which includes a request to change the end-to-end maximum rate to 20 Mbps, it is required that the

5 reconstructing the action command. The policy  
administration function PAF therefore converts the  
maximum rate of 20 Mbps to an ATM peak cell rate of 52  
Mbps using the ATM adaptation conversion rule. Next,  
the stored parameter mentioned above is read out of the  
0 policy storing memory 114 and the peak cell rate of 52  
Kcell/s obtained by the conversion is substituted for  
the peak cell rate of 26 Kcell/s to thereby generate a  
new parameter indicated by the following:

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15      peak cell rate:  52 Kcell/s
      minimum cell rate:  8 Kcell/s

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20 If the arrangement described above is adopted, the  
system is made easier for the user to operate because it  
suffices to specify only the action that requires to be  
changed. Further, the desired technology-dependent  
parameters can be obtained in a short period of time  
25 merely by using a conversion rule to convert the action  
that requires to be changed and replacing some of the  
action parameters read out of the policy retaining  
memory with the parameters obtained by the conversion.

(f) Parameter conversion conforming to element type

In an actual network implemented in ATM, the network includes the unit (AAL) 101 having the ATM adaptation function, the units (vpTP) 103, 105 having the VP cross-connection function, and the units (vcTP) 102, 106 having the VC cross-connection function. As a consequence, it is necessary to convert technology-dependent parameters to parameters dependent upon the communication device that is to be set.

Fig. 5 is a diagram useful in describing conversion to element-dependent parameters. This is an explanatory view of VC provisioning, e.g., a case where the peak cell rate PCR of a VC cross-connection unit is increased by 26 K. Upon receiving a VC-generation action command in element units from the policy administration function PAF, the policy enforcement unit 121 sends this command to the element-dependent rule handler 122. The element-dependent rule handler 122 selects the action conversion rule 123a for VC if the network element to be set is an element having the VC cross-connection function, selects the action conversion rule 123b for VP if the network element to be set is an element having the VP cross-connection function, and converts the technology-dependent parameter to the element-dependent parameter using the action conversion rule selected.

For example, if the network element to be set is a VC cross-connection element, the action command is not

changed (command operation createTP ‡ createTP, PCR 26 K ‡ PCR 26 K). Further, if the network element to be set is a VP cross-connection element, the action command is changed to an action for increasing the band of the VP cross-connection in accordance with the action conversion rule 123b for VP (createTP ‡ addTrafficParameter, PCR ‡ addPCR).

The policy enforcement unit 121 accepts the converted action parameter dependent upon the network element from the element-dependent rule handler 122 and sets this parameter in the above-mentioned element. In actuality, the policy enforcement unit 121 performs an operation to change the attribute (maximum band) of a managed object corresponding to the network element to be set, this element being managed by the element management systems EMS. As a result, the NE-configuration manager (Fig. 1) subsequently sets the changed maximum band in the network element to be set.

(g) Parameter conversion conforming to function  
supported by network element

In an actual system, there are many cases in which even though it is possible for quality classes such as CBR, GFR and UBR to be supported, initially only CBR is supported and quality classes to be supported are added on successively as by adding on hardware and upgrading the version of software. In an actual network system, there are many cases where upgrading the version of a function is not performed for all elements at a stroke

5 prescribed conversion rule upon taking into account the function or number of versions of the network element to be changed, and converts technology-dependent parameters to element-dependent parameters using the selected conversion rule.

10 Fig. 6 is a diagram useful in describing a  
parameter conversion conforming to a supported function.  
This is an explanatory view in a case where the quality  
glass GFR has been requested in VC provisioning. It is  
assumed here that the network includes a mixture of  
15 elements that do and do not support the quality class  
GFR.

Upon receiving a VC-generation action in element units from the policy administration function PAF, the policy enforcement unit 121 sends this command to the element-dependent rule handler 122. The element-dependent rule handler 122 selects a conversion rule conforming to the number of versions of the element to be set and converts the action parameter (quality class). For example, if the element to be set is an element that does not support the GFR, the element-dependent rule handler 122 selects the action conversion rule 123a, changes the quality class GFR (partial band guarantee) to CBR (full band guarantee) in accordance

with this action conversion rule and deletes the minimum band MCR (minimum cell rate). On the other hand, if the element to be set is one which supports GFR, then the element-dependent rule handler 122 selects the action conversion rule 123b and executes conversion processing in accordance with this function conversion rule. It should be noted that if the element is one which supports GFR, quality class, maximum band and minimum band are not changed.

10       The policy enforcement unit 121 accepts the converted action parameter dependent upon the function supported by the element from the element-dependent rule handler 122 and sets this parameter in the network element.

15       (C) Processing of policy administration function  
Fig. 7 is a flowchart showing the operation of the policy administration function PAF.

The network management system NMS inputs policy information, which includes the execution location, execution conditions and execution content of an operation, to the policy administration function PAF domain by domain in the following format:

<target, condition, action 1, action 2>

25       If the policy disassembling unit 111 (Fig. 3) of the policy administration function PAF receives a policy request regarding a prescribed network technology (step 1001), the policy disassembling unit 111 separates the action contents action 1, action 2, ... from the third

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Using the three types of conversion rules conforming to technology indicated by the identification data, namely (1) the parameter conversion rule ARL of the adaptation function, (2) the parameter conversion rule MRL of the monitor function and (3) the parameter conversion rule of the protection function, the rule handler 112 converts the reported band request, monitor request and duplexing switch request to respective technology-dependent parameters and reports these to the policy disassembling unit 111 (steps 1004a, 1004b, 1004c). Though the three types of conversions are performed simultaneously in Fig. 7, the conversion processing can be executed in successive fashion.

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request, monitor request and duplex switch request, in which Fig. 8D is the band request (maximum rate of 10 Mbps, minimum rate of 3 Mbps), Fig. 8D the monitor request (continuity monitor) and Fig. 8E is the duplex switch request (duplex).

Figs. 8F to 8H illustrate technology-dependent parameters obtained by converting each of the requests to ATM parameters using conversion rules. The adaptation conversion rule converts the band request (maximum rate of 10 Mbps, minimum rate of 3 Mbps) to the ATM peak cell rate of 26,000 cell/s, minimum cell rate of 8,000 cell/s and ATM service category GFR of the minimum guarantee (see Fig. 8F). The monitor request conversion rule converts the monitor request (continuity monitor) to "test type: VC continuity test, OAM cell rate: 20 cell/s, test mode: in-service" (see Fig. 8G). The protection conversion rule converts the duplex switching request (duplex) to "switch type: VP protection, pair group number: 10" (step 8H).

Fig. 8I is an action command delivered to the policy enforcement function PEF. The policy disassembling unit 111 refers to the target information (From: TPa To: TPz) and generates two action commands at terminals TPa and TPz with regard to technology-dependent parameters obtained by respective conversion rules. For example, the policy disassembling unit 111 generates an action command that includes converted parameters (peak cell rate of 26,000 cell/s, minimum

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cell rate of 8,000 cell/s, service category GFR), which are for setting the band, and the terminal TPa, and an action command that includes the converted parameters for setting the band and the terminal TPz. The  
5 generated action commands are set in ATM communication device through the policy enforcement function PEF for ATM.

Figs. 9 and 10 are processing flowcharts of the adaptation conversion rule ARL for converting the band  
10 request, in which Fig. 9 is the processing flowchart of an adaptation conversion rule in ATM technology and Fig. 10 is the processing flowchart of an adaptation conversion rule in SDH technology.

In Fig. 9, if the rule handler 112 (Fig. 3)  
15 receives the maximum rate of 10 Mbps and the minimum rate of 3 Mbps as the action parameters of ATM technology from the policy disassembling unit 111 (step 1101), the rule handler 112 thenceforth converts these parameters to parameters dependent upon the ATM  
20 technology using the adaptation conversion rule of ATM technology.

To make a conversion to an ATM parameter, it is necessary to increase the rate by an amount equivalent to the header (footer), which is inserted into the ATM  
25 payload, and the ATM cell header. The length of the header inserted into a payload PDO is 4 bytes per 44 bytes in case of the ATM 3/4 type. The rate, therefore, is increased by 9%. More specifically, if the requested

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rate is  $X$  (bps), then a rate of  $X \times (48/44)$  (bps) is necessary to insert the header and footer. Further, it is necessary to increase the band by 5 bytes per 48 bytes as the ATM cell header, and therefore it is required that the rate be increased further by a factor of  $53/48$ . More specifically, if a rate of  $X$  (bps) is requested, then, with ATM technology, a total of  $X \times (48/44) \times (53/48)$  (bps) is required. If this is converted to cell rate (one cell is  $53 \times 8$  bits), then the required band will be

$$[X \times (48/44) \times (53/48)] / (53 \times 8) \text{ (cell/s)}$$

disassembling unit 111 with the ATM service category, peak cell rate and minimum cell rate obtained by the processing described above.

The foregoing is for implementation in ATM. In  
5 case of implementation in SDH, as shown in Fig. 10, the  
rule handler 112 receives the maximum rate of 10 Mbps  
and the minimum rate of 3 Mbps as the SDH-technology  
action parameters from the policy disassembling unit 111  
(step 1201). Next, the rule handler 112 converts these  
10 parameters to parameters dependent upon the SDH  
technology using the SDH-technology adaptation  
conversion rule.

In case of SDH implementation, the user decides beforehand that 6 M and 45 M are the bands used.

15 Accordingly, the SDH-technology adaptation conversion rule decides the band of SDH upon taking the requested maximum band (10 Mbps) into consideration (step 1202). That is, it is determined whether the maximum band is equal to or less than 6 M or between 6 M and 45 M (step

20 1202a). If the maximum band is equal to or less than 6 M, then a VC21 container is selected as the service category (step 1202b). If the maximum band is between 6 M and 45 M, then a VC32 container is selected as the service category (step 1202c). The selected service

25 category is sent to the policy disassembling unit 111 as the answer.

Figs. 11A, 11B show examples in which a monitor request

```
monitor: continuityMonitor
```

has been converted by a monitor-related conversion rule,  
in which Fig. 11A shows ATM-technology-dependent  
parameters after conversion and Fig. 11B shows SDH-  
5 technology-dependent parameters after conversion.

In case of a continuity-monitor request, it is converted to a VC characteristic test parameter, as shown in Fig. 11A, in the ATM implementation. In the case of the SDH implementation, if a path-trace function of a virtual container is used as substitution means of an in-service test, the parameter dependent upon the SDH technology will be as follows, as illustrated in Fig. 11B:

TestCategory: SDH PathTrace

15        Figs. 11C, 11D show examples in which a duplex  
request

Protection: Duplex

has been converted by a protection-related conversion rule, in which Fig. 11C shows ATM-technology-dependent parameters after conversion and Fig. 11D shows SDH-technology-dependent parameters after conversion. In case of the duplex request, a conversion is made to a VP protection parameter, as shown in Fig. 11C, in the ATM implementation. In the case of path changeover, it is required that the working and protection pair be managed and, hence, a changeover management number (pair group number) is added on. In the case of the SDH implementation, the parameter dependent upon the SDH

technology will be as follows, as illustrated in Fig.

11D:

```
protectionCategory: SDHprotection
```

If only the SDH-section switch function is supported,

5 working/protection is fixedly allocated within the SDH device. A changeover management number, therefore, is unnecessary.

(D) Processing of policy enforcement function

Fig. 12 is a flowchart illustrating the operation  
10 of the policy enforcement function PEF.

The policy administration function PAF inputs an action command (Fig. 8I), which includes the function name, execution location (vcTP-ID) and execution content, to the policy enforcement function PEF.

15       The policy enforcement unit 121 (Fig. 3) of the  
policy enforcement function PEF accepts the action  
command on a per-element basis (step 2001) and delivers  
the function to the rule handler 122.

Upon receiving the action command, the rule handler 122 refers to the element model within the element management system EMSi to determine whether the action is actually capable of being set. If the action can be set, then the rule handler 122 sets the action. In a case where a change is necessary, the rule handler 122 changes the action command. That is, the rule handler 122 searches for a managed object within the element management system EMSi (step 2002) and checks to see whether an execution location (object to be set) exists



(step 2003).

If an object to be set does not exist, the rule handler 122 searches for a substitutable object to be set and, if one is found, calls a conversion rule and  
5 converts the action command to an action command with regard to the substitutable object to be set (step 2004). If an object to be set exists in the managed object, the action command is not changed.

Next, the rule handler 122 determines whether the  
10 command can actually be executed. More specifically, the rule handler 122 reads the function information held by the object to be set out of the managed object (step 2005) and determines whether the function stipulated by the action command is supported (step 2006). If the  
15 function is not supported, the rule handler 122 calls a conversion rule and effects a conversion to a substitute action command (step 2007). If the function is supported, it is unnecessary to change the action command.

20 Finally, the rule handler 122 delivers the action command obtained by the above-described processing to the policy enforcement unit 121 (step 2008). The policy enforcement unit 121 then sets the action command in the element.

25 Figs. 13A ~ 13D are diagrams (in case of ATM) useful in describing data in various parts (a) ~ (d) of the operation flowchart of the PEF. This data will be used to describe the processing of Fig. 12.

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Fig. 13A shows a create TP action command received from the policy administration function PAF. This command generates, within an element, a vcTP that satisfies the service category GFR, peak cell rate of 26 K and minimum cell rate of 8 K.

Upon receiving the above-described action command from the policy enforcement unit 121, the rule handler 122 refers to the managed object with the EMSi and determines whether a vcTP, which is the object to be set, exists (step 2003).

If the vcTP, which is the object of the action, exists, the action command is not changed. If an vcTP is absent, however, the rule handler 122 calls a conversion rule and changes the object to be set from vcTP to a substitutable vpTP (step 2004). Fig. 14 is a diagram useful in describing the processing of a conversion rule for changing an object to be set. The rule handler 122 changes the operation name in the action command from createTP to addTrafficParameter (step 2004a) and then changes the object to be set (target ID) from vcTP to vpTP (step 2004b). As a result, the action command is changed as illustrated in Fig. 13B. The command createTP creates the TP (termination point) of the designated band and changes the band of the existing TP to the designated band. The command addTrafficParameter increases the band of the existing TP by the amount of the designated band.

Next, if vcTP exists, the rule handler 122 reads

quality information supported by the element out of the managed object within the element management system EMSi (step 2005). If the element does not support GFR, the rule handler 122 calls a conversion rule and changes the service category within the action command from GFR to CBR by this conversion rule (step 2007). Fig. 15 is a diagram useful in describing the processing of a conversion rule in a case where the ATM service quality supported by an element does not guarantee the minimum rate and fully guarantees only the maximum rate. The rule handler 122 changes the service category within the action command from GFR to CBR (step 2007a). Next, the rule handler 122 deletes the minimum-rate designation within the action command from the action command since this designation is unnecessary (step 2007b). As a result, the action command is changed as shown in Figs. 13C - 13D.

Finally, the rule handler 122 delivers the action command obtained by the above-described processing to the policy enforcement unit 121 and the policy enforcement unit 121 sets the action command in the element (step 2008).

Though a common policy enforcement function is provided for network technologies, one can be provided in the element management systems EMS1 ~ EMS3 of each of the technologies.

Thus, in accordance with the present invention, as described above, policy detailing is automated. As a

result, it is possible to reduce the know-how and learning necessary for network settings and to speed up and simplify network settings on the user side, and to make it possible to shorten the time necessary to change  
5 to a new service on the side of the public network, as a result of which the cost of changing a network configuration is reduced.

In accordance with the present invention, a policy detailing function is systematized. As a result, the  
10 policy detailing function can be changed and used more widely and it is easy to provide multiple values for functions and to convert functions.

In accordance with the present invention, it is so arranged that a conversion can be made automatically  
15 from the action parameter of abstracted policy information that is independent of network technology to a parameter that is dependent upon network technology. As a result, the operator of a network is capable of setting a parameter in an element merely by inputting  
20 abstracted policy information that is independent of the network.

In accordance with the present invention, a policy detailing function is separated into (1) a policy administration function provided commonly for the  
25 network technologies and (2) policy enforcement functions provided for corresponding ones of network technologies. As a result, when hardware/software is added on or updated, this can be dealt with merely by

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5 element to be set can be set.

10 to be set can be set.

15   embodiments thereof except as defined in the appended  
claims.

1. A communication network system for converting action parameters contained in policy information obtained by abstracting network-related user requirements to parameters conforming to network technology and type of network element, and setting these parameters in the network element, said system comprising:

```

    first conversion means for converting action
    parameters contained in the abstracted policy
10  information to network-technology-dependent parameters;
    and

```

second conversion means for converting the parameters, which have been obtained by the conversion by said first conversion means, to parameters dependent upon type of network element and setting these parameters in the network element.

2. The system according to claim 1, wherein said first conversion means includes:

policy disassembling means for disassembling the  
20 abstracted policy information, extracting the action  
parameters and outputting the same;

```

conversion-rule storage means for storing
conversion rules used when the action parameters are
converted to network-technology-dependent parameters;
25  and

```

conversion means for selecting a conversion rule conforming to a network technology and converting the action parameters to network-technology-dependent





5        said policy disassembling means disassembles the  
action parameters into (1) a parameter relating to  
adaptation, (2) a parameter relating to monitoring and  
(3) a parameter relating to protection; and

said conversion means converts each of the parameters to network-technology-dependent parameters using the first to third parameter conversion rules.

6. The system according to claim 2, wherein said first conversion means has policy storing means, network-technology-dependent parameters are stored on a per-end-to-end basis in said policy storing means, and when new policy information end to end is received, network-technology-dependent parameters conforming to this policy information are created using the technology-dependent parameters that have been stored in said

7. The system according to claim 3, wherein in said second conversion means:

said rule-conversion storage means stores  
conversion rules on a per-element-type basis; and

said conversion means selects a conversion rule based upon the type of element and converts network-technology-dependent parameters to element-dependent parameters using the selected conversion rule.

8. The system according to claim 3, wherein in said second conversion means:

said conversion-rule storage means adds on a conversion rule whenever a function of a network element  
5 is added on or changed; and

said conversion means selects a prescribed conversion rule upon taking the function of a network element or the number of versions of a network element into consideration, and converts the network-technology-  
10 dependent parameters to the element-dependent parameters using the selected conversion rule.

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ABSTRACT OF THE DISCLOSURE

Disclosed is a communication network management system for converting action parameters contained in abstract requirements (abstract policy information) regarding a network to parameters conforming to the network technology (ATM, SDH, WDM, etc.) and type of network element to be set, and setting these parameters in the element. Specifically, a policy administration portion converts action parameters contained in abstract policy information to parameters dependent upon network technology and a policy enforcement portion converts the parameters obtained by this conversion to parameters dependent upon type of network element to be set and sets these parameters in the element.

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FIG. 2

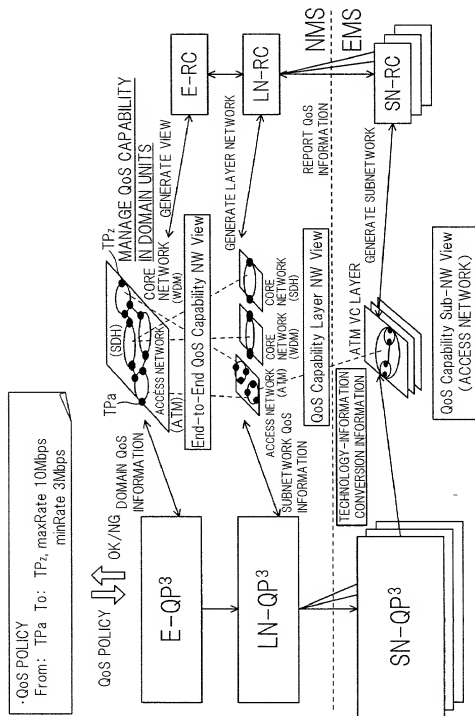


FIG. 3

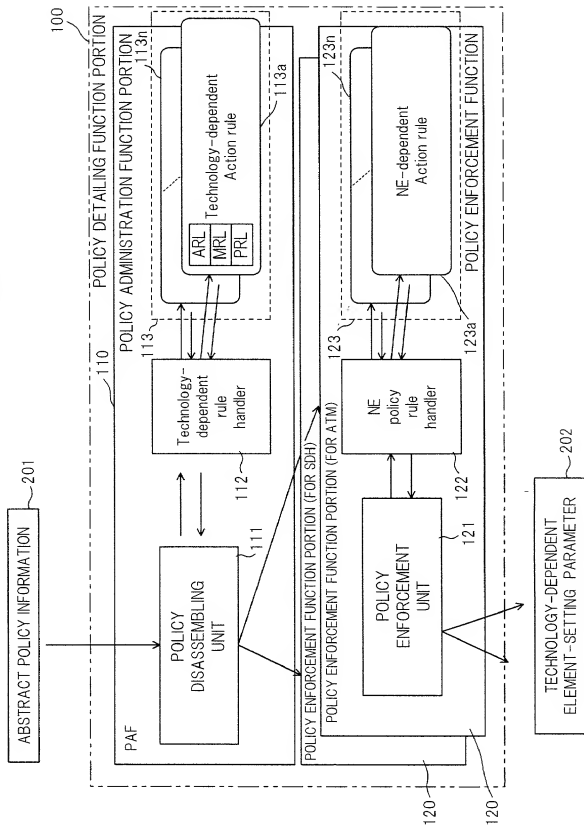


FIG. 4

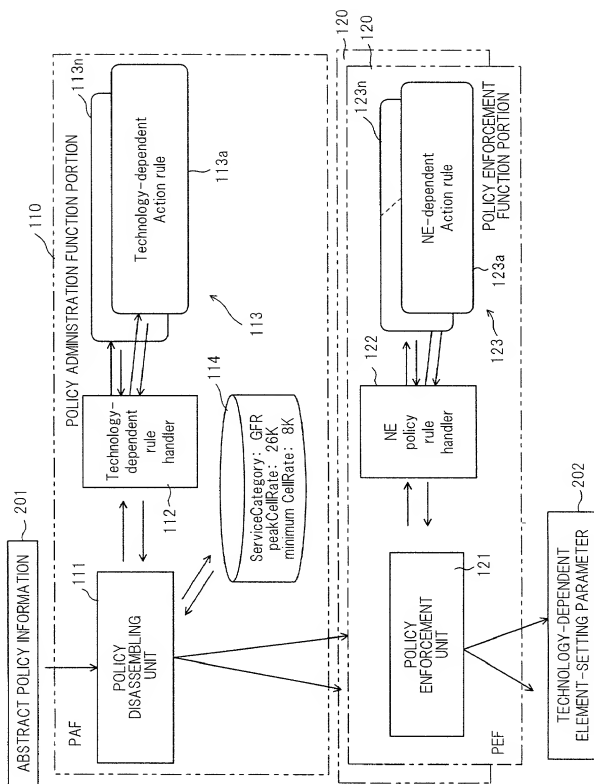


FIG. 5

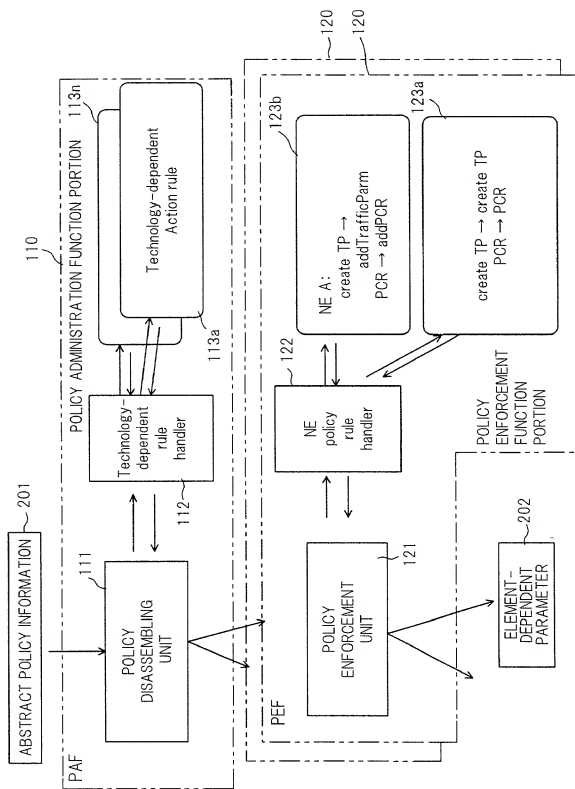




FIG. 6

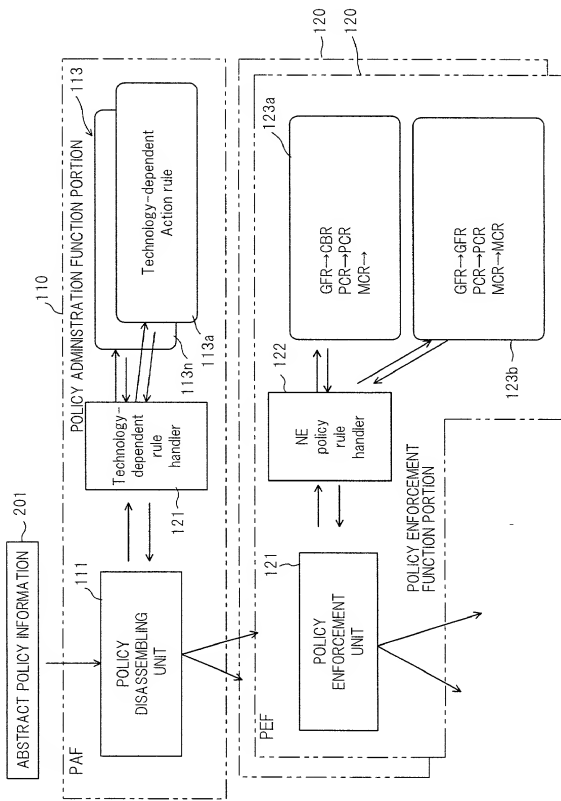


FIG. 7

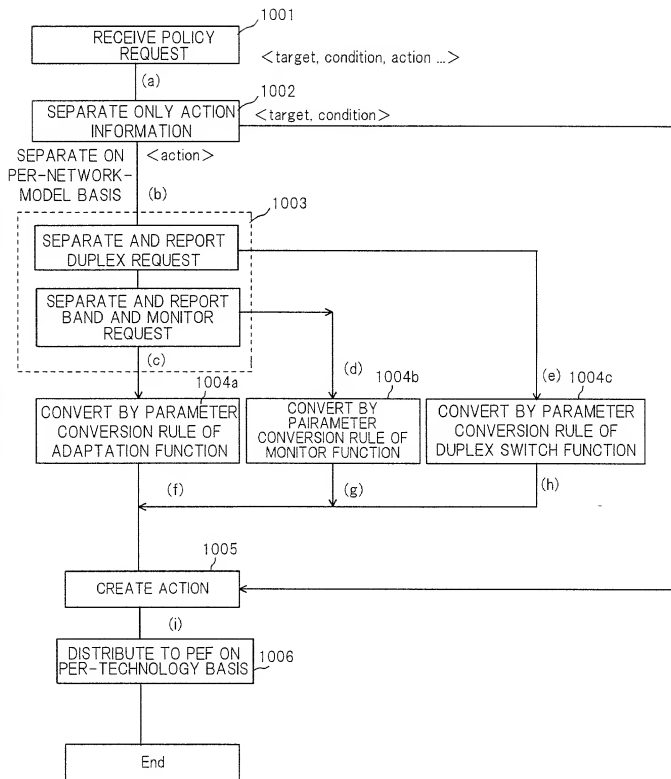




FIG. 9

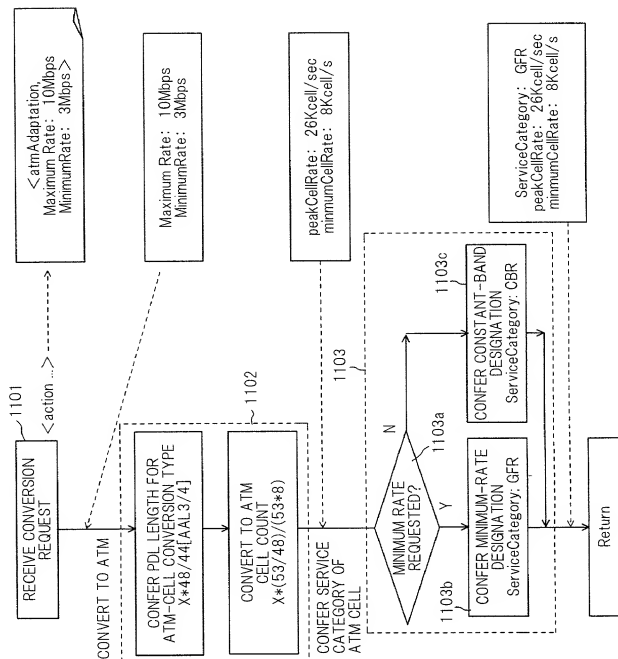
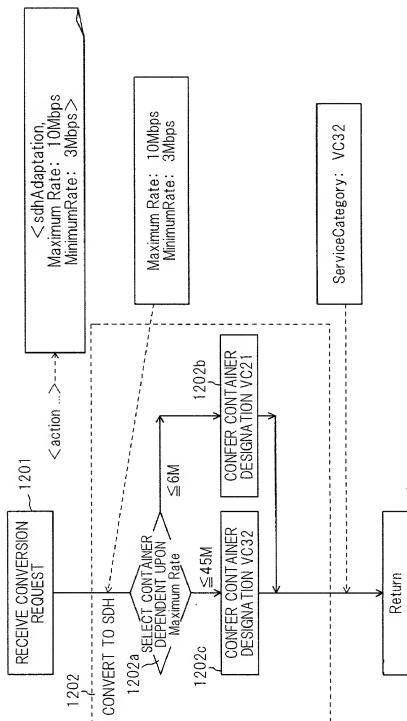


FIG. 10



*FIG. 11A*

TestCategory: VCcharacteristicTest  
oamCellRate: 20cell/sec  
Mode: In-service>

*FIG. 11B*

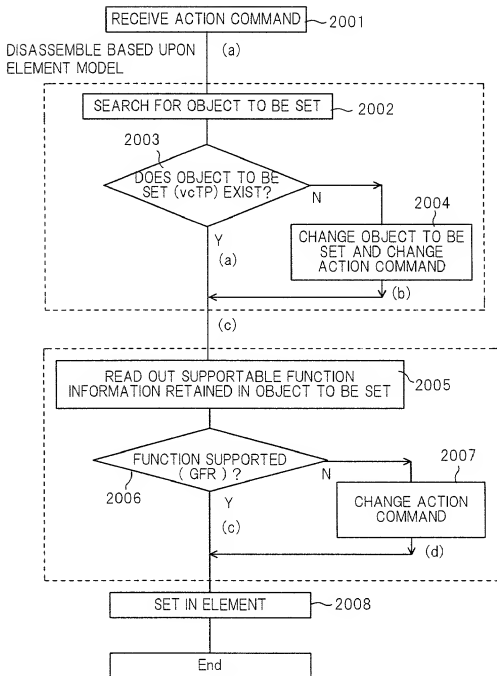
TestCategory: SDH PathTrace

*FIG. 11C*

protectionCategory: VPprotection  
pairGroupNumber: 10

*FIG. 11D*

protectionCategory: SDHprotection



(a)

FIG. 13B

~(b)

FIG. 13C

(c)

FIG. 13D

(d)



FIG. 14

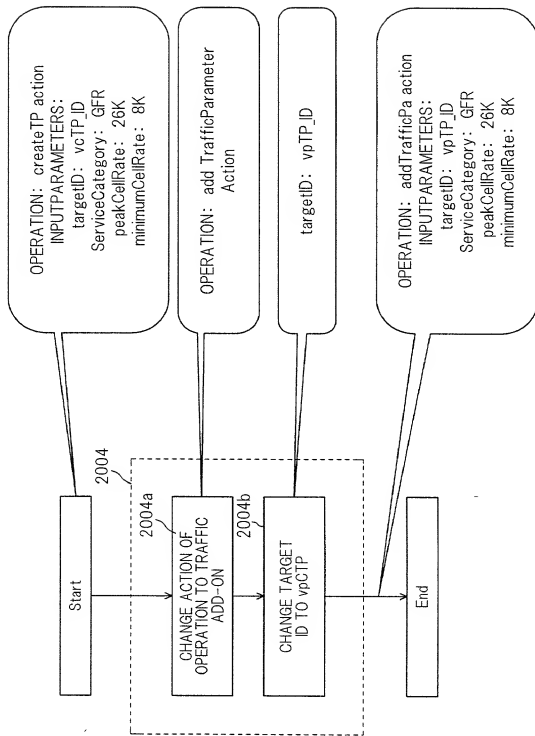


FIG. 15

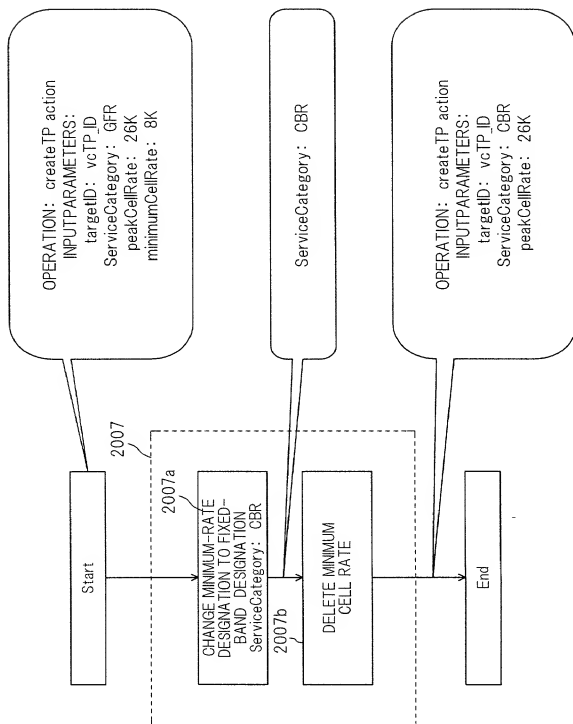


FIG. 16 PRIOR ART

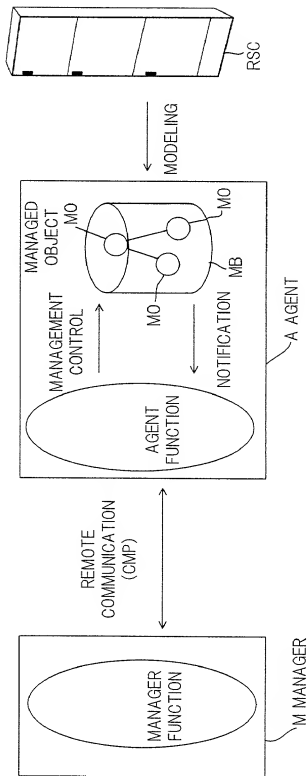


FIG. 17 PRIOR ART

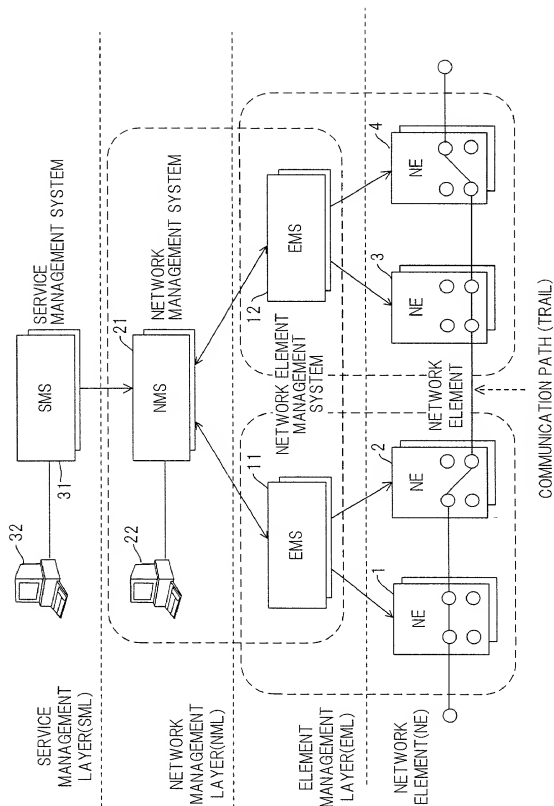
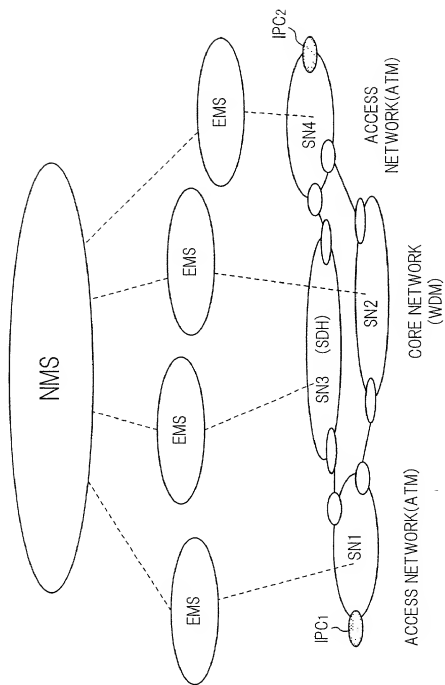
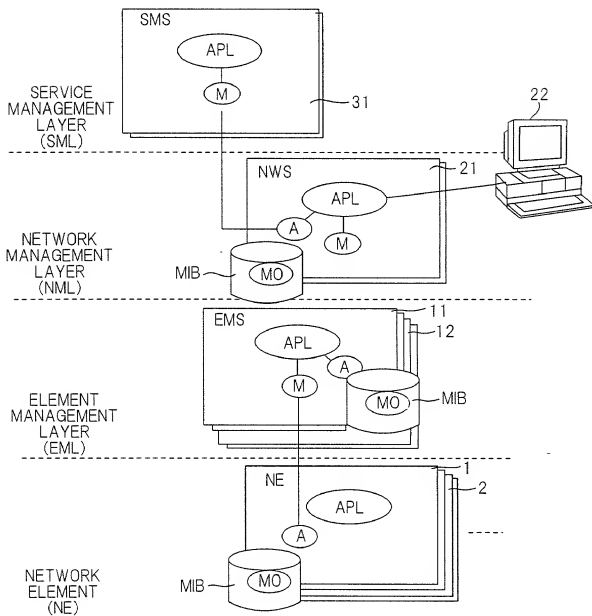


FIG. 18



## FIG. 19 PRIOR ART



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### 日本語宣言書

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My residence, post office address and citizenship are as stated next to my name.

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I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

COMMUNICATION NETWORK MANAGEMENT  
SYSTEM

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☐ was filed on \_\_\_\_\_  
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I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

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Priority Not Claimed

優先権主張なし

Prior Foreign Application(s)  
外国での先行出願  
TOKUGANHEI 11-322015 Japan  
(Number) (Country)  
(番号) (国名)  
(Number) (Country)  
(番号) (国名)

12/11/1999  
(Day/Month/Year Filed)  
(出願年月日)  
(Day/Month/Year Filed)  
(出願年月日)

☐

☐

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(Application No.) (Filing Date)  
(出願番号) (出願日)

(Application No.) (Filing Date)  
(出願番号) (出願日)

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(Application No.) (Filing Date)  
(出願番号) (出願日)

(Status: Patented, Pending, Abandoned)  
(現況: 特許許可済、係属中、放棄済)

(Application No.) (Filing Date)  
(出願番号) (出願日)

(Status: Patented, Pending, Abandoned)  
(現況: 特許許可済、係属中、放棄済)

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人の氏名及び登録番号を明記のこと)

POWER OF ATTORNEY: As a named inventor, I hereby appoint  
the following attorney(s) and/or agent(s) to prosecute this  
application and transact all business in the Patent and Trademark  
Office connected therewith (list name and registration number)

書類送付先

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United States of America

直接電話連絡先: (名前及び電話番号)

Direct Telephone Calls to: (name and telephone number)  
Helfgott & Karas, P.C.  
(212) 643-5000

唯一または第一発明者名	Full name of sole or first inventor
発明者の署名	Inventor's signature
住所	Residence
国籍	Citizenship
私書箱	Post Office Address
第二共同発明者	Full name of second joint inventor, if any
第二共同発明者	Second inventor's signature
住所	Residence
国籍	Citizenship
私書箱	Post Office Address

(第三以降の共同発明者についても同様に記載し、署名をす  
ること)

(Supply similar information and signature for third and subsequent  
joint inventors.)

第三共同発明者		Full name of third joint inventor, if any <b>Kohei Iseda</b>
第三共同発明者	日付	Third inventor's signature      Date <i>Kohei Iseda</i> 14 September 2000
住 所		Residence <b>Kawasaki, Japan</b>
国 籍		Citizenship <b>Japanese</b>
私書箱		Post Office Address <b>c/o FUJITSU LIMITED, 1-1, Kamikodanaka 4-chome, Nakahara-ku, Kawasaki-shi, Kanagawa 211-8588 Japan</b>
第四共同発明者		Full name of fourth joint inventor, if any
第四共同発明者	日付	Fourth inventor's signature      Date
住 所		Residence
国 籍		Citizenship
私書箱		Post Office Address

第五共同発明者		Full name of fifth joint inventor, if any
第五共同発明者	日付	Fifth inventor's signature      Date
住 所		Residence
国 籍		Citizenship
私書箱		Post Office Address
第六共同発明者		Full name of sixth joint inventor, if any
第六共同発明者	日付	Sixth inventor's signature      Date
住 所		Residence
国 籍		Citizenship
私書箱		Post Office Address

(第七以降の共同発明者についても同様に  
記載し、署名をすること)

(Supply similar information and signature for  
seventh and subsequent joint inventors.)

**THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the Application of: **Koji TEZUKA et al.**

Filed : Concurrently herewith

For : COMMUNICATION NETWORK MANAGEMENT  
SYSTEM

Serial No. : Concurrently herewith

September 20, 2000

Assistant Commissioner of Patents  
Washington, D.C. 20231

**SUB-POWER OF ATTORNEY**

S I R:

I, Samson Helfgott, Reg. No. 23,072 attorney of record herein, do hereby grant a sub-power of attorney to Linda S. Chan, Reg. No. 42,400, Jacqueline M. Steady, Reg. No., 44,354, Harris A. Wolin, Reg. No. 39,432 and Brian S. Myers, Reg. No. 46,947 to act and sign in my behalf in the above-referenced application.

Respectfully submitted,

Samson Helfgott  
Reg. No 23 072

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